# Some Sturmian Symbolic Dynamics 

Karl Petersen

University of North Carolina at Chapel Hill

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- Farey diagrams
- adic transformations
- ideals in $C^{*}$ algebras.


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- Balanced: For any two blocks $u, v$ of the same length, $\left||u|_{1}-|v|_{1}\right| \leq 1$.
- Codings of irrational rotations: There are $x$ and irrational $\theta$ such that for all $n, \omega(n)=1_{[1-\theta, 1)}(x+n \theta)$ or for all $n, \omega(n)=1_{(1-\theta, 1]}(x+n \theta)$. (A Sturmian system is then the closure of the orbit of $\omega$ under the shift. It is minimal, uniquely ergodic, and isomorphic to the irrational translation.)


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- Staircase coding: There are $x$ and irrational $\theta$ such that for all $n$, $\omega(n)=\lfloor x+(n+1) \theta\rfloor-\lfloor x+n \theta\rfloor$ or for all $n$, $\omega(n)=\lceil x+(n+1) \theta\rceil-\lceil x+n \theta\rceil$. (Look at jumps between lattice points above or below line through origin of slope $\theta$. Get jump (of floor) when $n \theta$ is in $[1-\theta, 1)$.)


## Upper and lower staircase codings, by jumps



Farey, Stern-Brocot, or C. Haros Diagram


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- Infinite paths give best one-sided approximations to irrationals. When switch sides, have best two-sided approximations, the ordinary continued fractions.
- I learned about the Farey shift from papers of Jeff Lagarias and about this "Farey diagram with memory" from Oliver Jenkinson and Florin Boca.


## Ordinary and intermediate continued fractions

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\text { Let } B=\left(\begin{array}{ll}
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x=[2,3,2,4, \ldots] \approx 1, \frac{1}{2}, \frac{1}{3}, \frac{2}{5}, \frac{3}{7}, \frac{4}{9}, \frac{7}{16}, \frac{10}{23}, \frac{17}{39}, \frac{24}{55}, \frac{31}{71}, \ldots
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Farey Diagram of Blocks


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- Every balanced word of length $p+q$ with exactly $p$ 1's is a rotation of the word in the Farey diagram that corresponds to $p /(p+q)$. There are exactly $p+q$ of them.
- Infinite nonperiodic Sturmian sequences are found as "ends" of infinite paths in the Farey diagram.

Farey Diagram of Blocks


## Times 2 map

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- Besides Coven-Hedlund (1973) and Hedlund-Morse (1940), we should also mention Jenkinson-Zamboni (2004), Arnoux (2002-in Pytheas Fogg), Berstel-Séébold (2002-in Lothaire), Jenkinson (1996-), Bullett-Sentenac (1994), Borel-Laubie (1993), Rauzy (1985), Gambaudo-Lanford-Tresser (1984), Hedlund (1944), Christoffel (1875), J. Bernoulli (1772), and probably others.


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- Two integer vectors $(q, p)$ and $\left(q^{\prime}, p^{\prime}\right)$ span the integer lattice $\mathbb{Z}^{2}$.
- $p q^{\prime}-q p^{\prime}= \pm 1$.
- The parallelogram spanned by the vectors $(q, p)$ and $\left(q^{\prime}, p^{\prime}\right)$ has no point of the integer lattice $\mathbb{Z}^{2}$ in its interior.


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## Parallelogram containing no interior lattice points


$(2,1)$
$(5,2)$

First part of coding of $(\mathbf{7}, \mathbf{3})$ follows $(\mathbf{5}, \mathbf{2})$


## Last part of coding of $(\mathbf{7}, \mathbf{3})$ follows translate of $(\mathbf{2}, \mathbf{1})$



## Bratteli Diagrams

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- Vertices, denoted by ( $n, k$ ), are partitioned into levels, $V_{n}$
- Edges connect vertices in consecutive levels
- Incidence matrices describe the number of edges connecting levels $n$ and $n+1$


$$
\begin{aligned}
& A_{1}=\left[\begin{array}{ll}
1 & 1
\end{array}\right] \\
& A_{2}=\left[\begin{array}{ll}
1 & 2 \\
1 & 1
\end{array}\right] \\
& A_{3}=\left[\begin{array}{ll}
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- $X$ is a compact metric space with metric given by: For $x, y \in X, d(x, y)=2^{-i}$ where $i=\inf \left\{j \mid x_{j} \neq y_{j}\right\}$.


## Edge ordering yields a partial order on the set of paths



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Define $y>x$ if $y_{n}>x_{n}$ the last time they differ.

## The adic transformation

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Thanks to Sarah Bailey Frick for this animated introduction.

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Closed under ancestors: If $(n+1, j) \in \Lambda$ for all $j$ such that $(n, i) \searrow$
$(n+1, j)$, then $(n, i) \in \Lambda$.

## Ideal conditions

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## Primitive ideals in $\mathcal{A}$

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In terms of the diagram $\Lambda$ determining $I$, this means that if $(n, i),(m, j) \notin \Lambda$, then there are $p \geq n, m$ and $(p, k) \notin \Lambda$ such that $(n, i) \searrow(p, k)$ and $(m, j) \searrow(p, k)$.

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## Ideals and invariant sets

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- Ideals of an AF algebra correspond to closed invariant sets of the Bratteli-Vershik transformation on the path space of the diagram.
- Primitive ideals of an AF algebra correspond to topologically transitive closed invariant sets of the Bratteli-Vershik transformation on the path space of the diagram.


## Half of Farey diagram



## Subadics of the Farey diagram

Regard the Farey diagram as a Bratteli-Vershik diagram, with the adic transformation on the metric space of infinite paths.

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For rational rotation number $\theta$ (the frequency of 1 's), there are 3 topologically transitive subadics, each containing a unique minimal set, isomorphic to a translation on a finite cyclic group.

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For irrational rotation number $\theta$, there is a single minimal subadic, isomorphic to the Sturmian system with that number.

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For irrational rotation number $\theta$, there is a single minimal subadic, isomorphic to the Sturmian system with that number.

These closed invariant subsets correspond to primitive ideals of the approximately finite $C^{*}$ algebra determined by the Farey Bratteli diagram.

## Farey diagram again



The orbit of $1 / 3 \sim 001001001001 \cdots=1 / 7$


Mapping $1 / 3 \sim 001001001001 \cdots=1 / 7$


Mapping $1 / 3 \sim 001001001001 \cdots=1 / 7$


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## An orbit forward asymptotic to that of $1 / 3 \sim 1 / 7$



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The diagram (non-red) of one ideal for $1 / 3 \sim 001 \sim 1 / 7$


The diagram (non-red) of another ideal for $1 / 3 \sim 001 \sim 1 / 7$


Ideal and orbit closure for $\theta=[2,3,2,4, \ldots]$


## $\beta$-shifts

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x=\frac{a_{1}}{\beta}+\frac{a_{2}}{\beta^{2}}+\ldots
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- $\left(\Sigma_{\beta}^{+}, \sigma\right)$ is a symbolic coding (lift) of the $\beta$-transformation $T_{\beta}:[0,1] \rightarrow[0,1]$ defined by $T_{\beta} x=\beta x \bmod 1$.


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## $\beta$-shifts

- Fix $\beta>1$, let $d=\lceil\beta\rceil$, and $D=\{0,1, \ldots, d-1\}$.
- Let $\Sigma_{\beta}^{+} \subset D^{\mathbb{N}}$ denote the closure of the set of all greedy expansions base $\beta$ of all $x \in[0,1]$,

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- Otherwise there is a first $i$ for which $T_{\beta}^{i} 1=n \in \mathbb{N}$, and then we put $e_{\beta}(1)=\left[a_{1} \ldots a_{i-1}(n-1)\right]^{\infty}$.


## $\beta$-shifts and lexicographic order

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- A sequence $a=a_{1} a_{2} \cdots \in D^{\mathbb{N}}$ is $e_{\beta}(1)$ for some $\beta$ if and only if it dominates all its shifts: $a \geq \sigma^{k} a$ for all $k \geq 0$ (Parry, 1960).


## A doubly lexicographic map of the interval

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- Since $M(\theta)$ is lexicographically maximal in a subshift, it dominates all its shifts and hence is the expansion $e_{\beta}(1)$ of 1 base $\beta$ for some $\beta=\beta(\theta) \in(1,2)$.
- We define $L:(0,1] \rightarrow(0,1]$ by $L(\theta)=\beta(\theta)-1$.


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- For $\theta=2 / 3$, the minimal element is $011011011 \ldots$, the maximal element is $M(\theta)=110110110 \cdots=\left(1_{[0,2 / 3)}(n \times 1 / 3)\right)$, and $\beta(\theta)$ is the reciprocal of the solution of $1=\left(x+x^{2}\right)\left(1+x^{3}+\ldots\right)$, i.e.
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- Since the mapping $L$ connects the lexicographic order properties of Sturmian systems and $\beta$-shifts (and the interval), it may be interesting to develop further its properties and those of the dynamical system it defines.
- I recently found out that in recent papers and preprints, DoYong Kwon has defined and studied essentially the same function.

